



PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application Number

10/649,029

Filing Date

08/27/2003

First Named Inventor

Philip D. Nguyen, et al

Art Unit

Examiner Name

Attorney Docket Number

2003-IP-010303

**ENCLOSURES (Check all that apply)**

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application                      | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address        | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Terminal Disclaimer   | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Request for Refund  |   |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> CD, Number of CD(s) _____   |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <b>Remarks</b>   |   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Executed Assignment w/Recordation Sheet<br>Statement Under 37 CFR 3.73(b)<br>Power of Attorney |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |  |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Robert A. Kent
Signature	
Date	9-15-03

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Tammy L. Knight		
Signature		Date	9-15-03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Philip D. Nguyen, et alApplication No./Patent No.: Unknown Filed/Issue Date: Concurrently HerewithEntitled: Methods for Controlling Migration of Particulates in a Subterranean FormationHalliburton Energy Services, Inc., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

9-15-03

Date

580-251-3125

Telephone number

Robert A. Kent

Typed or printed name

Signature

Senior Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**ASSIGNMENT**

Whereas, I/We, **Thomas E. Rush** of Fort Bend, Richmond, Texas, has/have invented **"Methods of Controlling Migration of Particulates in a Subterranean Formation"** for which I have made application for Letters Patent of the United States; and

Whereas, HALLIBURTON ENERGY SERVICES, INC., a Delaware Corporation, having a place of business at 10200 Bellaire Boulevard, Houston, Texas 77072 is desirous of acquiring an interest therein;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/We, by these presents do sell, assign and transfer and convey unto HALLIBURTON ENERGY SERVICES, INC., its successors and assigns, the full and exclusive right, for the United States of America and its territorial possessions and for any and all foreign countries, in and to the said invention, described in the Patent Application serial number 10/649,029 filed on 08/27/2003, and any divisional, continuing or reissue application based on the present application preparatory to obtaining Letters Patent of the United States therefor; said invention, application and any and all Letters Patent issuing therefor to be held and enjoyed by HALLIBURTON ENERGY SERVICES, INC., for its own use and behoof, and for its legal representatives, successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me and had this assignment and sale not been made.

And I do further agree to sign all papers, make all rightful oaths and do all requisite acts for the filing of any disclaimer or for the filing and assignment of any divisional, continuing or reissue application or applications for patent based on the present application, as well as for any other U.S. or foreign application for patent which relates to the said invention.

And I do further agree to communicate to HALLIBURTON ENERGY SERVICES, INC., its successors, assign or other legal representatives, such facts relating to the invention disclosed in the present application or Letters Patent issuing thereon as may be known to me, and to testify as to such facts in any interference or other litigation.

Executed this 15 day of September, 2003, at Duncan, Stephens County, Oklahoma U.S.A.

Thomas E. Rush  
Signature

State of Oklahoma     )  
                                  ) ss  
County of Stephens    )

Before me personally appeared said **Thomas E. Rush** and acknowledged the foregoing instrument to be his free act and deed this 15<sup>th</sup> day of September, 2003.

Seal

2-13-2006

Sammy Knight  
(Notary Public)

# 02002595

**ASSIGNMENT**

Whereas, I/We, **Philip D. Nguyen**, of Stephens County, Duncan, Oklahoma, **Jimmie D. Weaver** of Stephens County, Duncan, Oklahoma and **Johnny A. Barton** of Stephens County, Marlow, Oklahoma, have invented **"Methods of Controlling Migration of Particulates in a Subterranean Formation"** for which I have made application for Letters Patent of the United States; and

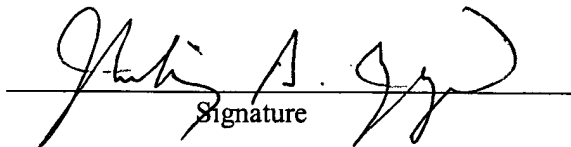
Whereas, HALLIBURTON ENERGY SERVICES, INC., a Delaware Corporation, having a place of business at 10200 Bellaire Boulevard, Houston, Texas 77072 is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of the sum of ten dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/We, by these presents do sell, assign and transfer and convey unto HALLIBURTON ENERGY SERVICES, INC., its successors and assigns, the full and exclusive right, for the United States of America and its territorial possessions and for any and all foreign countries, in and to the said invention, described in the Patent Application first executed on August 25, 2003, submitted concurrently herewith, and any divisional, continuing or reissue application based on the present application preparatory to obtaining Letters Patent of the United States therefor; said invention, application and any and all Letters Patent issuing therefor to be held and enjoyed by HALLIBURTON ENERGY SERVICES, INC., for its own use and behoof, and for its legal representatives, successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me and had this assignment and sale not been made.

And I do further agree to sign all papers, make all rightful oaths and do all requisite acts for the filing of any disclaimer or for the filing and assignment of any divisional, continuing or reissue application or applications for patent based on the present application, as well as for any other U.S. or foreign application for patent which relates to the said invention.

And I do further agree to communicate to HALLIBURTON ENERGY SERVICES, INC., its successors, assign or other legal representatives, such facts relating to the invention disclosed in the present application or Letters Patent issuing thereon as may be known to me, and to testify as to such facts in any interference or other litigation.

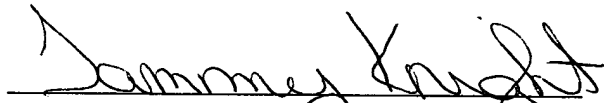
Executed this 25 day of August, 2003, at Duncan, Stephens County, Oklahoma U.S.A.

  
Signature

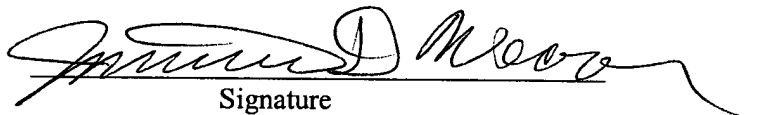
State of Oklahoma     )  
                                  ) ss  
County of Stephens    )

Before me personally appeared said **Philip D. Nguyen** and acknowledged the foregoing instrument to be his free act and deed this 25<sup>th</sup> day of August, 2003.

Seal  
2-13-2006

  
(Notary Public) #02002595

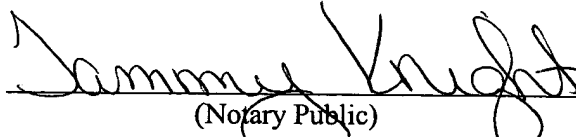
Executed this 25 day of August, 2003, at Duncan, Stephens County, Oklahoma U.S.A.

  
Signature

State of Oklahoma     )  
                                  ) ss  
County of Stephens    )

Before me personally appeared said **Jimmie D. Weaver** and acknowledged the foregoing instrument to be his free act and deed this 25<sup>th</sup> day of August, 2003.

Seal  
2-13-2006

  
(Notary Public) #02002595

Executed this 25 day of August, 2003, at Duncan, Stephens County, Oklahoma U.S.A.

Johnny A. Barton  
Signature

State of Oklahoma     )  
                                  ) ss  
County of Stephens    )

Before me personally appeared said **Johnny A. Barton** and acknowledged the foregoing instrument to be his free act and deed this 25<sup>th</sup> day of August, 2003.

Seal

2-13-2003

Sammy Knight  
(Notary Public)

#02002595



PTO/SB/81 (05-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	
Filing Date	
First Named Inventor	Philip D. Nguyen, et al
Title	Methods for Controlling
Art Unit	
Examiner Name	
Attorney Docket Number	2003-IP-010303U1

I hereby appoint:

☐ Practitioners at Customer NumberPlace Customer  
Number Bar Code  
Label here

OR

☒ Practitioner(s) named below:

Name	Registration Number
See attached	

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number.Place Customer  
Number Bar Code  
Label here

OR

<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City	State	Zip		
Country				
Telephone	Fax			

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name	Robert A. Keny		
Signature			
Date	9-15-03	Telephone	580-251-3125

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Please type a plus sign (+) inside this box → ☐

PTO/SB/02C (3-87)

Approved for use through 9/30/98. OMB 0831-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## DECLARATION

## REGISTERED PRACTITIONER INFORMATION (Supplemental Sheet)

Name	Registration Number	Name	Registration Number
Halliburton Energy Services, Inc. Practitioners		Baker Botts Practitioners	
William E. Shull	29,438	Mitchell D. Lukin	30,772
William M. Imwalle	35,904	Carey C. Jordan	47,646
Robert A. Kent	28,626	Iona Kaiser	53,086
Craig W. Roddy	36,256		
John W. Wusternberg	35,415		

**Burden Hour Statement:** This form is estimated to take 6.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

Declaration — Registered Practitioner Information (Supplemental Sheet) (PTO/SB/02C)(1-1.4)